



V2+Broking Application Form.

Euro Corporate Securities Pty Ltd | AFSL: 319189 | ACN: 125 973 391
Suite2, 448 Roberts Rd, Subiaco WA 6008 | P: 08 9388 3494 | F: 08 9388 3485

Execution & Settlement through: ETRADE AUSTRALIA SECURITIES LIMITED
trading as E*TRADE Australia ACN 078 174 973 AFSL No. 238277,
Participant of the ASX Limited Group

Adviser Name:	<input type="text"/>
ANZ Intermediary Reference Number:	<input type="text"/>
Client's account no:	<input type="text"/>
Brokerage scale:	<input type="text"/>

This form must be completed for all new clients. Please send completed form and applicable KYC Schedule to E*TRADE Broking Services, PO Box 1346 Royal Exchange NSW 1224. If you have any queries please call 1300 363 241.

1. Type of Account (Please ✓ one only)

☐ Individual ☐ Joint ☐ Company ☐ Superannuation Fund ☐ Trust ☐ Registered Body

2. For Company or Registered Body Account only ACN/ABN or ABRN

3. CHESS Registered Account Name

Account Name
Account Designation A/C>

The name you nominate here will be your CHESS Registered Name. Account Designation is the name of the superfund, trust or minor's name.

4. Account Holder 1 / Company Contact (Please provide the details for an individual, trustee, custodian or company director.)

Title ☐ Mr ☐ Mrs ☐ Ms ☐ Miss Other Gender ☐ Male ☐ Female
Family Name Given Name/s
Date of Birth / / Occupation

5. Account Holder 2 / Company Contact

Title ☐ Mr ☐ Mrs ☐ Ms ☐ Miss Other Gender ☐ Male ☐ Female
Family Name Given Name/s
Date of Birth / / Occupation

6. **Address** Individual, joint accounts or sole traders to provide a residential address. Company, super and trust accounts, to provide the registered address. This will be your CHESS registered address **unless** you provide an address in question 7 or 8.

Address (not PO Box)
Suburb/City State Postcode Country

7. **Client's Postal Address** (if different from above). This will be your CHESS registered address, **unless** you provide an address in question 8.

Address
Suburb/City State Postcode Country

8. **Adviser Address.** If address is provided this will be the CHESS Registered Address

Address
Suburb/City State Postcode Country

9. Contact Details: ✓ your preferred means of contact (Email address plus at least one phone number is required)

☐ Phone (w) ☐ Phone (h)
☐ Phone (m) ☐ Fax
☐ Email

10. Tax File Number

Please include the Tax File Number (TFN) of each Account Holder signing. Or, in the case of a company, fund or trust account include that body's TFN. Collection of TFN is authorised by the Australian Taxation Office. It is not compulsory to quote a TFN however if you choose not to, you will be charged withholding tax at the highest rate. Should you choose to provide a TFN, we will pass it on to the E*TRADE Australia, ANZ Bank and share registries with whom you hold securities.

Tax File Number – Account Holder 1 - - Or Exemption Code
Tax File Number – Account Holder 2 - - Or Exemption Code

11. Contract Notes: please ✓ your preference: I/we would like to receive my/our contract notes by: ☐ Email ☐ Post ☐ Email and post

12. CHESS Sponsorship Transfer (Complete only when transferring sponsorship).

I/We am/are currently sponsored on CHESS by another broker or have issuer sponsored securities but would prefer to hold some or all of my/our securities with E*TRADE Australia Securities Limited ('E*TRADE Australia') sponsorship. (Please read the Sponsorship Agreement and if you agree with the terms contained therein, complete this section).

Broker Sponsored Holdings (please attach a copy of your CHESS holding statement)

Name of Existing Sponsoring Broker

HIN to be transferred

CHESS Registered Account Name

Holding to be transferred: If "All holdings" is selected, your HIN will be transferred from your existing broker. If you nominate to transfer "selected holdings" your selection will be transferred to your HIN with E*TRADE Australia. Please note, this may require re-notification of DRP and Tax File Numbers. The Account name and address with your existing broker must be EXACTLY the same as your Account name and address with E*TRADE.

Please transfer: ☐ All holdings ☐ Selected holdings (If you wish to transfer selected holdings, please complete a CHESS Sponsorship Transfer Form)

13. Dividend Direction: Please ✓

☐ I/We hereby authorise and request E*TRADE Australia to instruct the share registries of any companies in which I hold shares to pay any dividends and other distributions directly into my/our E*TRADE Australia Settlement Account. These instructions apply for all existing holdings and future holdings. Please note:

- This dividend direction applies only to shares held in the account name below which are Broker Sponsored by E*TRADE Australia.
- These instructions will override all previous instructions that you have provided to share registries regarding payment of dividends. Previous elections that you have made to participate in any dividend reinvestment plans will be maintained.
- If you wish to use an account other than your E*TRADE Australia Settlement Account for the direct crediting of dividends, you will need to liaise directly with the relevant share Registries.
- This form operates as a standing authorisation and instruction by you to E*TRADE Australia in relation to any shares that you purchase through E*TRADE Australia at any time after the date of this form. E*TRADE Australia will provide your E*TRADE Australia Settlement Account details to the relevant registry at the time of purchasing the stock for you.
- You may cancel your Dividend Direction Request at any time by a written confirmation.

14. Acknowledgements/Declaration

- (1) I/We acknowledge having received, read and understood the relevant Financial Services Guides and I/we have read and agree to the Privacy Statement for V2+Broking Customers.
- (2) I/We have received, read and understood the CHESS Sponsorship Agreement and agree to be bound by its terms and conditions.
- (3) I/We acknowledge having received and agree to be bound by the E*TRADE Trading Terms and Conditions (V2+Broking) as updated from time to time and provided to me.
- (4) I/We authorise Euro Corporate Securities Pty Ltd to give effect to my instructions regarding execution and settlement of my/our securities transactions and to make payments on my behalf from any V2+Broking account. I/We will be bound by all such instructions.
- (5) I acknowledge that I have read and am bound by the provisions of the ANZ V2+Broking Terms and Conditions.

Anti-Money Laundering and Sanctions

The Client agrees that E*TRADE Australia may delay, block or refuse to process any transaction without incurring any liability if E*TRADE Australia suspects that:

- (a) the transaction may breach any laws or regulations in Australia or any other country;
- (b) the transaction involves any person (natural, corporate or governmental) that is itself sanctioned or is connected, directly or indirectly, to any person that is sanctioned under economic and trade sanctions imposed by the United States, the European Union or any country; or
- (c) the transaction may directly or indirectly involve the proceeds of, or be applied for the purposes of, unlawful conduct.

The Client must provide all information to E*TRADE Australia which E*TRADE Australia reasonably requires in order to manage money-laundering or terrorism-financing and economic and trade sanctions risk or to comply with any laws or regulations in Australia or any other country.

Unless the Client has disclosed that it is acting in a trustee capacity or on behalf of another party, the Client warrants that it is acting on its own behalf in signing this application form.

The Client declares and undertakes to E*TRADE Australia that the processing of any transaction by E*TRADE Australia in accordance with the Client's instructions will not breach any laws or regulations in Australia or any other country.

15. Signatory Requirements

Authorised Representative ☐ View only access to my/our ANZ V2+ Broking financial records.

Access Level ☐ Same powers as me/us to withdraw from or deposit into my/our ANZ V2+ Broking account and access to my/our ANZ V2+ Broking financial records

Signature

X

Name

Title (if company)

Date

/

/

Signature

X

Name

Title (if company)

Date

/

/

For joint applicants, please indicate signatory requirements for all written instructions (please tick one): ☐ Either applicant to sign ☐ All applicants to sign

Adviser Use Only

16. Licensee Declaration

We have complied with the terms of the Trading Services Agreement with E*TRADE Australia Securities Ltd and all relevant legislation. I acknowledge that I am authorised to sign this form on behalf of the intermediary.

I certify to E*TRADE Australia that I have collected and verified the client information in accordance with the Intermediary Identifying Agreement

Signature

X

Name

Date

/

/

Signature of authorised representative third party

By signing this application, you confirm you are the authorised representative to operate and/or view the ANZ V2+ Broking account

Signature

X

Name

Date

/

/

Execution of Sponsorship Agreement by E*TRADE Australia Securities Ltd
Signed for and on behalf of E*TRADE Australia Securities Limited

Signature

X

Name

Date

/

/

HIN